



Evaluation Criteria and Worksheets

Place-Based Integrated Water Resources Planning Grant



Reprinted from the Request for Letters of Interest

The letter of interest should be consistent with the goals/objectives in the *Draft Place-Based Planning Guidelines* and the *Integrated Water Resources Strategy*. The Department will prioritize funding in areas where: 1) there is interest in and a commitment to piloting the place-based planning guidelines; 2) there are strong partnerships that already exist or are likely to exist; 3) there is a need for place-based planning; and, 4) there is the greatest likelihood to develop a place-based integrated water resources plan/strategy with clear, actionable recommendations that are acceptable to diverse water interests. While developing your letter of interest, consider that the review team will be looking at the following:

Primary Considerations:

- The letter is consistent with the Place-Based Planning Guidelines and the State-wide Strategy;
- The process will be or is initiated by a capable convener;
- Support of partners is evident or likely;
- There is a commitment to conducting place-based planning;
- The convener and partners are committed to working in partnership with the State;
- The letter of interest indicates a clear and immediate need to understand and address water quantity, water quality and ecological health issues using an integrated approach;
- The convener and partners have an ability to meaningfully engage and collaborate with a balanced representation of water interests;
- The convener and partners intend to integrate water planning between different users;
- The convener and partners intend to address in-stream and out-of-stream water resource issues (above and below ground).

Additional Considerations:

- The convener has an understanding of the complexities of leading a collaborative effort;
- There is a track record of successfully implementing collaborative projects;
- There is local capacity to support collaborative planning efforts;
- There is likelihood of developing an integrated water resources plan in 2-3 years;
- The convener and partners demonstrate an openness to learning, experimentation and innovation throughout the pilot phase;
- Resources that the conveners and partners can leverage and bring to the planning effort.

The Department is interested in piloting this program in areas with different characteristics. As a result, the Department may consider factors such as: planning scale; location/geography; nature of water issues; type of convener; local capacity; current funding profiles; and, planning history (e.g., newly formed collaborative efforts or longstanding collaborative partnerships).

As mentioned above, the Department is seeking a 25 percent match/cost-share (cash or in-kind). The match demonstrates a local commitment to the planning efforts. Applicants are encouraged to estimate the time and resources that will be contributed by them and their partners over the course of the project. Applicants that leverage other resources and indicate that they will contribute more than a 25 percent match/cost-share may be more competitive.

Detailed Evaluation Worksheets: The following worksheets will be used to guide the review process. The major categories (i.e., leadership, partnerships, capacity, integration, needs/outcomes, and approach) and sub-categories provide more information about what the review team will be looking for. If you have any questions or comments on these materials please contact placebasedplanning@wrdd.state.or.us. Since we are in the pilot phase of this program we will benefit greatly from your feedback.

LEADERSHIP: Presence (or likelihood) of an appropriate convener as defined in Appendix A of the Request for Letters of Interest.							
The convener demonstrates a clear commitment to place-based planning.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional commitment.
The convener demonstrates a clear commitment to the principles of the IWRS.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional commitment.
The convener is committed to leading a multi-year collaborative effort.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional commitment.
The proposed convener is perceived as serving a broad public interest.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
The convener is perceived as inclusive and impartial.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
Leadership	Lack of evidence (or uncertainty) in leadership. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Strong evidence of leadership.

PARTNERSHIPS: Presence (or likelihood) of a broad representation of interests and a strong network of partners.							
The process is likely to include a balanced representation of interests.	Not evident/unlikely. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional representation evident or likely.
The proposed effort has or is likely to have a diverse and strong network of partners.	Not evident/unlikely. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional participation evident or likely.
The planning group is open and committed to working in partnership with the state.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
The group has qualities that make it a good partner during this phase (learning, experimentation, adaptation).	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional qualities.
The planning process is likely to remain open and transparent, involving the broader public.	Not evident/unlikely. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
There is a history of collaborative work and civic engagement in this community.	Not evident. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and complementary.
Partnerships	Few to no partnerships evident or likely. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Strong network of partners evident or likely.

CAPACITY: <i>The convener(s) and partners have the time, interest, and energy to undertake place-based planning and are committed to leveraging resources to successfully complete the planning effort.</i>							
The convener has or is likely to have the capacity to lead a multi-year collaborative effort.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
The planning group partners have or are likely to have the capacity to support a multi-year collaborative effort.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
The skills and resources provided by partners will be a sufficient contribution.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional contributions evident or likely.
Planned operational support of day-to-day coordination of the collaborative is evident or likely.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
There is a clear commitment to contribute at least 25% match to the planning effort.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional contributions evident or likely.
Capacity	Capacity not evident or uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Very high capacity evident or likely.

INTEGRATION: <i>The convener(s) and partners are dedicated to and see the benefits of integrating water issues in a manner that's consistent with the IWRS.</i>							
The process is likely to look at instream and out-of-stream needs in an integrated approach (including groundwater as appropriate).	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
The process is likely to look at water quantity, quality and ecological health in an integrated approach.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
The convener and partners possess an awareness of relevant data and information that might contribute to the planning process.	Not evident. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
The proposed process is likely to build off of and integrate with other relevant efforts that might benefit from improved integration/coordination.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional integration evident or likely.
The convener and partners appear to be thinking about the bigger system and how different components are inter-related.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
Integration	Need and likelihood of integration not evident or uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Strong evidence for the need and likelihood of improved integration.

NEEDS/OUTCOMES: <i>Place-based planning is an appropriate tool to address the needs identified in the Letter of Interest, the needs are clear and positive outcomes are a likely result of a collaborative, integrated effort.</i>							
There is a clearly articulated need to address local water challenges through collaborative, integrated planning.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional need.
Place-based planning is the appropriate tool to address identified needs.	Not appropriate. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional reasoning.
Place-based planning is likely to result in near-term benefits to the community.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
Place-based planning is likely to result in long-term benefits to the community.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
There is a clear need to improve integration between partners or between water sectors/interests.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
Coordinating work between partners presents clear opportunities for innovative approaches to water management.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional innovation likely.
Conducting place-based planning right now presents unique opportunities (timeliness).	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptionally timely.
Needs/Outcomes	Little to no demonstrated need or potential for positive outcomes. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> High need, timeliness and potential for positive outcomes.

APPROACH: <i>The proposed approach is aligned with and builds on the Place-Based Planning Guidelines and seems achievable in 2-3 years.</i>							
As proposed, the approach demonstrates an understanding of the IWRS and place-based planning.	Not evident/uncertain. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptional reasoning.
The proposed approach is consistent with/builds on the place-based planning guidelines.	Not consistent. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
The proposed scale is well defined and justified and is appropriate for integrated water planning.	Not well founded. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
The requested assistance is reasonable and sufficient to execute the proposed approach.	Not well founded. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceedingly evident and clear.
There is a likelihood of completing an integrated water resources plan in 2-3 years.	Not likely. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Evident and likely.
Approach	Approach needs improvement. <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Exceptionally well-reasoned approach.

SWOT Analysis Worksheet Instructions: This form will be used to map the relative strengths, weaknesses, opportunities and tradeoffs (SWOT analysis) associated with each Letter of Interest. These will provide a framework to guide discussions during the review team meeting. Developing a full awareness of the situation can help us understand both the readiness for integrated water resources planning within these communities as well as the bigger contextual factors that may affect overall success.

		Favorable helps achieve objectives	Unfavorable does not help achieve objectives	
Internal Factors attributes of the organization				Leadership
				Partnerships
	Strengths	Weaknesses		Capacity
				Integration
				Needs/Outcomes
				Approach
External Factors attributes of the environment	Opportunities	Tradeoffs/Risks		